

APR. 8. 2002 11:25AM
APR. 5. 2002 2:37PM

TOWNSEND & TOWNSEND
LLC INTERNATIONAL

NO. 682 P. 2
NU. 2412 P. 2/5



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20531
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/990,888	11/09/2001	Makino, Katsuhiko	020274-000100US 51H E

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO CA 94111-3834

Response Due 4/19/02

Title: System and method for reporting customer visits to a bank or the like

Date Mailed: 03/28/2002

Request for Substitute Papers

The papers filed on 02/19/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely reply to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Stephen F. Jewett Registration No.: 09/990,888

Signature: [Signature] Date: April 8, 2002

PTO FAX NO.: 703 746 4060
ATTENTION: Examiner



Atty Docket No. 020274-000100US

Group Art Unit 216

OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
EXAMINER

CERTIFICATION OF FACSIMILE TRANSMISSION

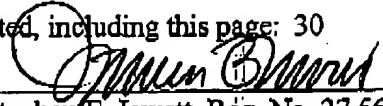
I hereby certify that the following document(s) in re Application of Katsuhiko MAKINO et al., Application No. 09/990,888, filed November 9, 2001 for SYSTEM AND METHOD FOR INFORMING AND DISPLAYING CUSTOMER'S VISIT FOR SALES PROMOTION, AND BANK COMMUNICATION SYSTEM is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Facsimile for Request for Substitute Papers with the following attachments: 1. Transmittal Form dated January 23, 2002; 2. Fee Transmittal dated January 23, 2002; 3. Recordation Form Cover Sheet dated January 23, 2002; 4. Transmittal Letter-Response to Notice of Missing Parts; 5. Declaration and Power of Attorney; 6. Assignment of Patent Application; 7. Drawings Fig. 1 through Fig. 17(b); 8. Copy of Notice to File Missing Parts of NonProvisional Application; 9. Copy of Postcard with OIPE's Date Stamp of February 19, 2002; 10. Copy of Request for Substitute Papers from the USPTO.

Number of pages being transmitted, including this page: 30

Dated: April 8, 2002


Stephen F. Jewett, Reg. No. 27.565

PLEASE CONFIRM RECEIPT OF THIS PAPER BY
RETURN FACSIMILE AT (415) 576-0300

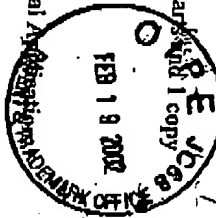
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
Telephone: 303-571-4000
Fax: 303-571-4321
DE 7067663 v1



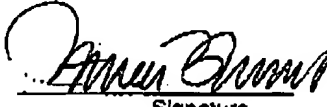
TO THE U.S. PATENT & TRADEMARK OFFICE
 Please stamp the date of receipt of the following
 document(s) and return this card to us:
 Re: **Katsuhiko MAKINO et al**
TITLE OF DOCUMENTS:
 1. Transmittal Form
 2. Fee Transmittal for FY 2001 and 1 copy
 3. Transmittal Letter - Response to Notice of Missing Parts and 1 copy
 4. Declaration and Power of Attorney
 5. Drawings fig. 1 through 17b
 6. Recordation Form Cover Sheet and 1 copy
 7. Assignment of Patent Application
 8. Copy of Notice to File Missing Parts of Nonprovisional Application
 9. Return Postcard
 App. No. 09/990,888
 File No. 020274-000100US
 Date Mailed January 23, 2002
 Atty/Secy. SFL:gsr (Denver)

REC'D

MAR 06 2002



Attorney Docket No. 020274-000100US

Form PTO-1595 (Rev. 03-01) OMB No. 0851-0027 (exp. 5/31/2002)		Recordation Form Cover Sheet PATENTS ONLY		U.S. Department of Commerce U.S. Patent and Trademark Office	
Tab settings $\Rightarrow \Rightarrow \Rightarrow \nabla$					
To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof					
1. Name of conveying party(ies): Katsuhiko MAKINO Additional name(s) of conveying parties attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			2. Name and address of receiving party(ies) Name: <u>The Asahi Bank, Ltd. & ATM Japan, Ltd. (Nishiyama & Associates)</u> Internal Address: <u>Attn: Yoshiaki Nishiyama</u> Street Address: <u>KK Building, 1-6-16, Nishimbashi-Kayabacho</u> City: <u>Chuo-ku</u> State: <u>Tokyo</u> ZIP: <u>103-0025</u> Country: <u>Japan</u> Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____			Execution Date: <u>January 11, 2002</u>		
4. Application number(s) or patent number(s). If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No(s): <u>09/990,888</u> B. Patent No(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Stephen Jewett</u> <u>TOWNSEND AND TOWNSEND AND CREW LLP</u> <u>Two Embarcadero Center, 8th Floor</u> <u>San Francisco, California 94111-3834</u> <u>Fax: (415) 576 0300</u> <u>(303) 571 4000</u>			6. Total number of applications and patents involved <u>1</u> 7. Total fee (37 CFR 3.41): _____ \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>20-1430</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 30%;"> <u>Stephen Jewett</u> Name of Person Signing Atty. Reg. No. 27,565 </div> <div style="width: 30%; text-align: center;">  Signature </div> <div style="width: 30%; text-align: center;"> <u>1/23/02</u> Date </div> </div>					

Total number of pages including cover sheet, attachments and document

Mail documents to be recorded with required cover to:
 Commissioner of Patents and Trademarks, Box Assignments
 Washington, D.C. 20231
 DE 7060373 v1